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| **SINGLE OPERATION (SO) – MARINE CARGO (shipment)** |

Compliance with trade and financial sanctions/restrictions resulting in particular from the resolutions and decision of the United Nations, from the laws and regulations of France, the European Union or the United States of America (herein after the “Regulations”) is a top priority for HELVETIA. To that purpose and to comply with legal obligations applicable to it as well as to all parties concerned (insureds, insurance intermediaries, insurers, and reinsurers), HELVETIA carries out a due diligence process. To that purpose, HELVETIA would be grateful if its insured partners could fill in this questionnaire and send it to their usual contact at HELVETIA.

List of countries under sanctions may be found on the following link: <https://www.helvetia.com/fr/web/fr/assurances-helvetia/portrait-actualites/portrait/informations-reglementaires/international-sanctions.html>

Additional information on international sanctions are available on the websites of the French authorities (<https://www.tresor.economie.gouv.fr/services-aux-entreprises/sanctions-economiques>), the European Union (<http://www.consilium.europa.eu/fr/policies/sanctions/>) and the US (<https://home.treasury.gov/policy-issues/financial-sanctions/sanctions-programs-and-country-information>).

1. **General information**

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| **Policyholder name** (+ country of HQ) | **Name:**  **Country:** |
| **Brokers(s) name(s)** (+ country of HQ) | **Name:**  **Country:** |
| **Involved sanctioned country/territory in the operation** | **Involved sanctioned country:** |

1. **Due diligence information**

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| **CALL/VOYAGE**  **Any US nexus\*\* to be specified additionally** | |
| **From**  Dates  Name of the (air)port/location  Country | Dates:  (Air)port/location:  Country: |
| **Via (if applicable)**  Name of the (air)port/location  Country | (Air)port/location:  Country: |
| **To**  Forecasted dates  Names of the (air)port / location  Country | Forecasted dates:  (Air)port/location:  Country: |
| Port operator / Port authority (names) | Port operator:  Port authority: |
| Loading agent (name + address) | Name:  Address: |
| Unloading agent (name + address) | Name:  Address: |
| **Mode of transport** (sea, land, air) | Sea  Land  Air |
| **Incoterms** |  |
| **GOODS TRANSPORTED**  **Any US nexus\*\* to be specified additionally** | |
| **Goods** (precise nature)  **CN (**Combined Nomenclature) **Code** | Description of the goods:  CN code: |
| Confirmation that goods does not qualify as **Sensitive goods** i.e. arms/military equipment/internal repression and/or dual use goods (goods that can have both civilian and military use) and technologies and/or any goods or technologies that require an export license from the relevant authorities: **YES** (I do confirm) ☐ **.NO.** ☐  If **NO**, please specify: | |
| Confirmation of non-military end-use/end-user: **YES** (I do confirm) ☐ **.NO.** ☐  If **NO**, please specify: | |
| **Origin** (country) | Country of origin:  If Ukraine, does the good originate from one of the following territories: Crimea, Kherson, Zaporizhzhia, Luhansk, Donetsk?  **YES**  **NO** |
| **Value 100%** (if data available) **and currency of transaction** | Value:  Currency:  USD  EUR  Other (please specify:…) |
| **Do the goods contain US components which amount to at least 10% of their total value?** | **YES**  **NO** |
| **VESSEL / AIRCRAFT / CARRIER**  **Any US nexus\*\* to be specified additionally** | |
| **Name of the vessel** |  |
| **IMO / Registration number** |  |
| **Airline company** |  |
| **(Land) Carrier** |  |
| **PARTIES**  **Any military counterpart and any US nexus\*\* to be specified additionally** | |
| **Producer of goods** | Name:  Address: |
| **Consignor** | Name:  Address: |
| **Seller** | Name:  Address: |
| **Buyer** | Name:  Address: |
| **Consignee** | Name:  Address: |
| **Final receiver (if different from consignee)** | Name:  Address: |
| **Final user (if different from consignee)** | Name:  Address: |
| **Are you aware of any aspect of this transaction that could lead to a breach of the United Nations, European Union or US sanctions? .YES.** ☐ **NO** ☐ - **If “YES”, please specify:**  **ADDITIONAL INFORMATION / COMMENTS:** | |

***\*\*US nexus****: any connection with the US, such as e.g. any US natural or legal person (including US banks and any US-owned foreign interests, Green card holders worldwide, US citizens or US permanent residents wherever located, individuals physically present in the US, etc.), the US territory (including US land, sea and air, ports, airports and US Foreign Trade Zones), any US-origin items or services (including any goods, technology, service, data and intellectual property rights), any means of transport, originating, registered or flagged in the US, as well as the use of USD.*

**DULY ENTITLED, SIGNED FOR AND ON BEHALF OF THE POLICYHOLDER BY:**

**Name:**

**Title:**

**Date:**

Signature + Stamp of the Company